

Consolidated Operational Recommendations and Guidelines for Implementation

NUCLEUS project



NUCLEUS

TABLE OF CONTENTS

1 EXECUTIVE SUMMARY	3
2 IMPLEMENTING RRI IN RESEARCH-PERFORMING ORGANISATIONS	4
3 RECOMMENDATION FOR POLICY	9
ANNEX I: LEARNINGS FROM 'MOBILE NUCLEI' IMPLEMENTATION	11
ANNEX II: IMPLEMENTATION & EVALUATION INSTRUCTION GUIDE.....	13

1 EXECUTIVE SUMMARY

How can we best place public interests at the heart of research and innovation? Are such principles of a more “Responsible Research and Innovation” (RRI) easily implemented in Research-performing organisations? If not, what are the obstacles to the systemic change? How can we challenge established policies and practices in order to place public interests at the heart of research and innovation?

The EU-funded “NUCLEUS” project, one of the largest RRI projects in Horizon 2020 programme, has addressed these questions and has contributed to an evidence-base enabling Research-performing organisations (RPOs) to anticipate the implications of their activities and plans, include relevant stakeholders upstream, to reflect and respond to those stakeholders’ concerns and expectations. The goal was to identify pathways for turning RRI from a policy prescription into actual realities of transformative and sustainable institutional change.

The project was structured in two phases:

- Analysis of the conditions and obstacles for implementing RRI in RPOs;
- Experimentation with change in the governance and culture of ten RPOs worldwide.

NUCLEUS tested the principles of RRI through real-time experiments in ten research institutions across Europe, in China, Georgia, and South Africa. These experiments, shaped by the project’s empirical research, involved implementing approaches and activities that would help to overcome institutional obstacles and demonstrate the benefits of RRI to each institution. Based on the learnings from these experiments, the following four steps should be taken:

1. Undertake a self-assessment to identify where your organisation currently stands in regards to RRI principle implementation
2. Develop an action plan based on the self-assessment. The action plan can also serve as a strategic planning tool for the institution.
3. Implement the action plan. Here the specific circumstances and audiences are fully contextualised to help support change in the institution.
4. Continuously reflect, analyse, evaluate, learn and improve. RRI is a continuing process, not a final outcome, which makes these steps a continuous process loop.

The project also supported the activities of 20 so-called ‘Mobile Nuclei’, one-off activities where participants in the consortium tested innovative approaches to reflect the concept of RRI in different contexts.

The NUCLEUS project used the analogy that RRI functions in the same way as cells in an organism. There are six cells within the organism, and the university is the central “*cell*” in which a nucleus is “*embedded*” and linked to a cluster of others cells: Public Policy, Public Engagement, Civil Society, Media and Economy. The aim has been to develop and nurture a productive ‘*metabolism*’ that integrates all these cells, fostering RRI processes that respond to diverse needs, values and socio-cultural environments.

2 IMPLEMENTING RRI IN RESEARCH-PERFORMING ORGANISATIONS

Appropriate use of language is critical in communicating and facilitating RRI, as different disciplines, cultures and institutions might use different terminology to describe aspects of RRI. The use of the term 'RRI' itself might be confusing or even detrimental to the cause as it can create defensiveness in the community. Therefore, the language used to discuss RRI should be adapted to the context in which it is used. The central principle is that research and innovation should connect and communicate with the context in which it is produced and circulated.

Implementing RRI in the governance and institutional culture will allow RPOs to better respond to societal challenges. The NUCLEUS project confirmed a shared understanding within the consortium that this responsiveness will increase the economic, social and cultural impact of research findings across Europe and on a global scale. However, since RRI is a complex endeavour, in which a variety of academic and non-academic stakeholders should work together during the whole research and innovation process, the implementation of this concept demands several key elements to be considered.

Building on the findings of other RRI projects, NUCLEUS results show that RRI processes require enriching the structures within RPOs and offering adequate training and support to realise this culture change within the RPOs and in the public sphere.



STEP 1: ASSESS

Undertake a self-assessment with regards to RRI integration:

- Identify your "RRI" within your institutions, among your partners
- Analyse what you can do to increase uptake RRI in your institution



RRI is a process in context, requiring professional facilitation, communication and organisation in the governance of research and innovation within an institution or region.



RRI needs to work as a set of outputs and outcomes, including, workshops, MOOCs, trainings, rewards and resources, HR awards schemes, that incentivise researchers and managers to do more RRI and that embed responsibility in all co-created research.








Understanding existing cultures and practices (both internal to the institution and external) gives the foundation for the introduction and sustained growth of RRI principles.



STEP 2: PLAN





Develop an action plan based on the self-assessment. The action plan can also serve as a strategic planning tool for the institution. It can be useful to:

-  Identify RRI champions (internally) both inside the institution and outside of it, particularly at the top level of a research organisation, to advance and embed the principles of RRI – “top-down to bottom-up”, with written and potentially signed internal agreements.
-  Establish a Research Engagement Committee that acts as a forum for all stakeholders to co-create RRI together.
-  Engage with mentors (external) to help you through the process.
-  Carefully articulate the alignment between RRI principles and institutional strategic objectives and responsibilities when seeking management buy-in.
-  Try to increase inter- and transdisciplinarity that brings the sciences, the arts and humanities together, reimagining the concept of ‘research excellence’ with associated indicators.



STEP 3: IMPLEMENT

Implement the action plan. Here the specific circumstances and audiences are fully contextualized to help support change in the institution.

-  Map out the more common engagement activities, scope them and identify where the RRI components can be aligned.
-  Participate and co-create at all times with society to enable the participatory codesign of research and innovation from design to “product”. This is how RRI “comes alive” through building trust.
-  Societal partners’ needs come first but then respond co-creatively, ethically, gender-sensitively, inclusively and professionally to produce shared solutions.
-  Include external mentoring and internal group reflections in developing, critically (self)evaluating and monitoring action plans. Expertise may also come from publics, media experts, policy experts, CSOs and enterprise agencies.



STEP 4: REFLECT

Self-reflection is a necessary, continuous and iterative process. Implementation of critical institutional reflection, analysis, evaluation, learning and improvement at key stages, and mutual learning with new and collaborating partners.



If there are enough critical points, the culture eventually changes.



Link up RRI nuclei regionally, globally, for a Living RRI Network, through similar projects.

Finally, RRI is a continuing process, not a final outcome, which makes these four steps a continuous process loop.

The four-step process can be illustrated as bellow:

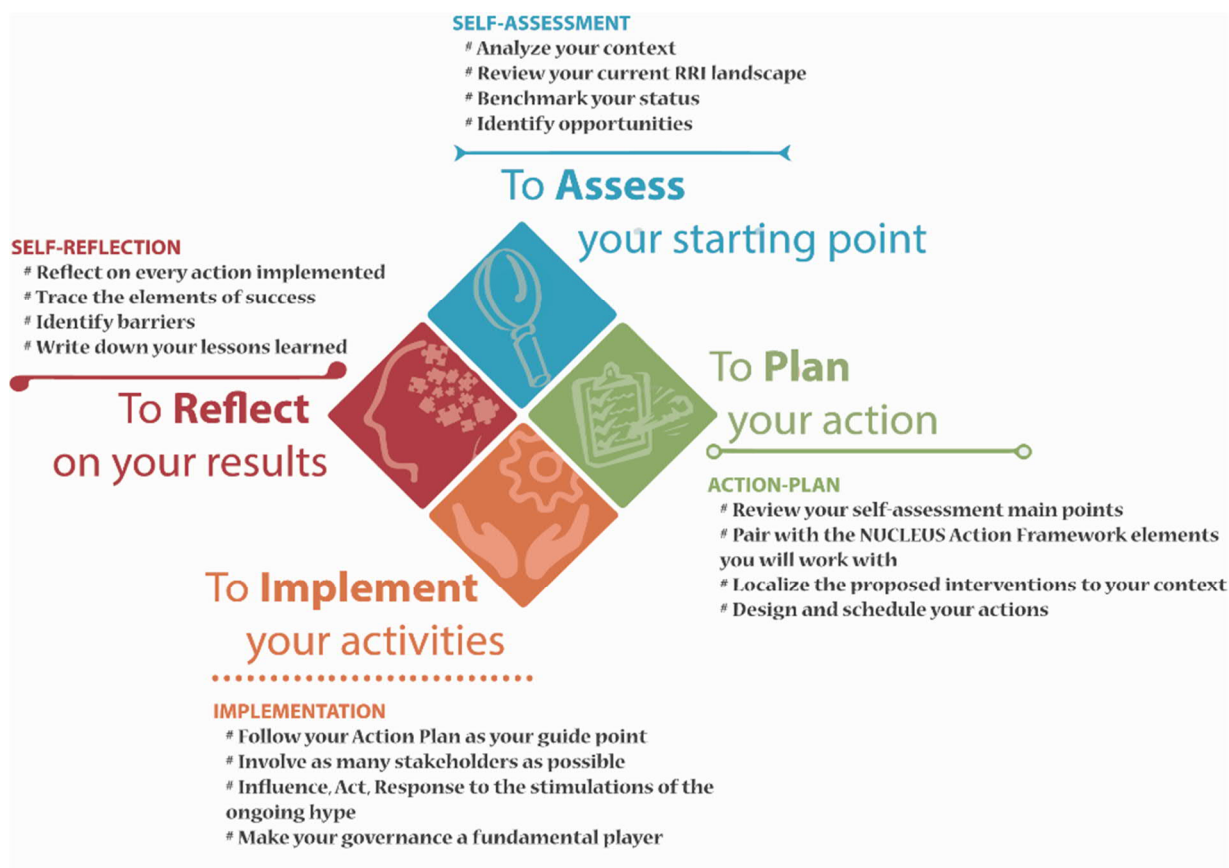


Figure 1 – Steps to implement RRI in RPOs.

A web tool has been developed (<http://evaluation.nucleus-project.eu/>) to facilitate a more user-friendly process, consistent and less time-consuming. It also directly links all the steps that each institution will complete for the implementation and evaluation.

Based on the findings of the project, stemming from the four steps outlined above, a more detailed roadmap has been devised to help RPOs implement RRI into their governance and culture:

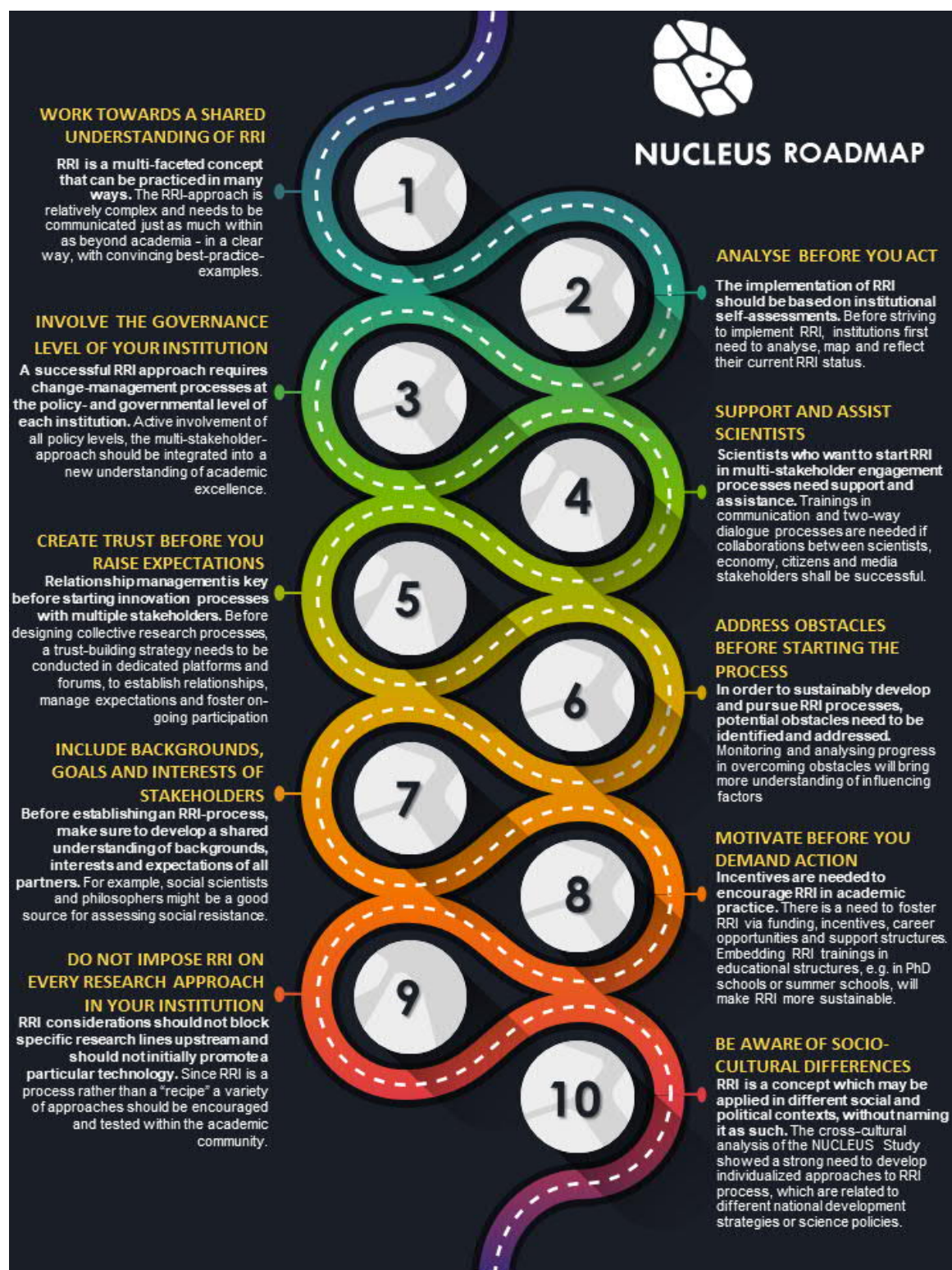


Figure 2 – RRI Roadmap for RPOs.

This overall process is guided by a number of principles summarised below:

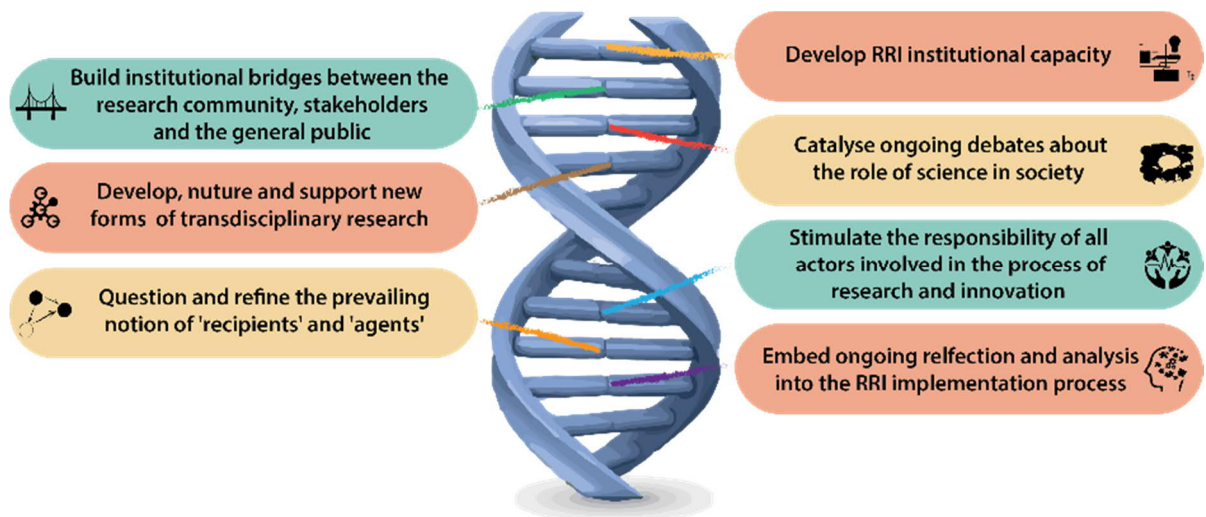


Figure 3 – NUCLEUS principles for implementing RRI

While recognising the five keys – Ethics, Gender Equality, Open Access, Public Engagement and Science Education as common landmarks in the RRI landscape a major aspect which distinguishes the NUCLEUS approach from other RRI – projects is that it is less focused on the five keys and more oriented towards co-responsibility with and responsiveness to different stakeholders. Instead of focusing on the keys as the sole indicators of RRI, the NUCLEUS concept reflects the idea of inter-relations among different institutions and frameworks.

3 RECOMMENDATIONS FOR POLICY

At the closing conference of the project, NUCLEUS published a Joint Declaration together with its sister project RRI-Practice and 11 other European initiatives, urging the European Commission to make RRI a key objective of the upcoming framework programme, Horizon Europe.

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Recognising that the EU has been a pioneer in responding to the issues at stake through the early political adoption of RRI and throughout the last three European framework programmes, (most importantly the Science-with-and-for-Society, SwafS, programme) ground-breaking conceptual and practical work on RRI has been achieved. Now, in view of the next framework programme, a top-down political approach is needed in order to better address 21st century's societal concerns. The European Commission should combine the operationalisation of RRI into criteria for funding with the further nurturing of individual capacities of the actors in research and innovation. The EU is the right actor to take a leading position in the field as previous initiatives, such as the Rome Declaration on Responsible Research and Innovation in Europe, have highlighted. Such initiatives have not lost any of their urgency, so a call for immediate action is deemed necessary.

Recommendations to the European Commission on embedding RRI in Horizon Europe:

1. In cases in which RRI or RRI-related concepts are included in research and innovation actions, applicants in these programmes/calls should be asked to outline how their projects relate to RRI, based on guidelines for how to embed RRI effectively and how to measure societal impact. The proper inclusion of RRI actions must involve specified tasks, deliverables, milestones and budgets in order to be convincing. If the described RRI actions are not designed systematically, this should affect the overall evaluation significantly. Criteria for assessing this, both in the proposal and in subsequent delivery, should be communicated to applicants, evaluators and reviewers.
2. Interdisciplinary collaboration should be encouraged. Including researchers from Social Sciences and Humanities (SSH) usually increases the quality of RRI actions, such as citizen engagement or ethical deliberation. Including other initiatives and organisations, such as Technology Assessment organisations or NGOs can also have an important function in making projects more transdisciplinary.
3. Treat RRI components as research: the RRI measures in an integrated project (e.g. stakeholder engagement, citizen science, co-creation) should be based on an understanding of how such actions can be done well, and the methods and results of RRI actions should be published. Only in this way can continuous further development, quality improvement and learning effects be achieved.
4. Projects should consider integrating all aspects of RRI; simply picking one aspect (research integrity, for instance) is to fragment RRI. Instead, when applying, for instance, citizen science in an integrated project this should be done in a reflective, inclusive and open way.
5. It must be clear that citizen science, open science and co-creation are aspects of RRI, but responsibility in research and innovation also includes being anticipatory, inclusive, reflexive and responsive, and includes considerations of fairness (social, gender, etc.) and sustainability. Open science, citizen science and co-creation agendas should be considered in this broader perspective and reference to RRI should be made. Funding calls that include RRI,

open science, citizen science and co-creation should be informed by evidence from past RRI research endeavours. Specific guidelines to include open science, citizen science and co-creation activities in Horizon Europe should be related to RRI.

6. An RRI Hub should be funded by the EC in order to ensure quality in the mainstreaming of RRI, co-creation, public engagement and citizen science in the whole framework programme. This hub should build on and further cultivate the RRI knowledge base. It should advise, train, consult, assess and provide quality control and be a resource for those who include RRI related activities in Horizon Europe. It should also provide experts for the assessment of these aspects of research and innovation proposals and project activities, and for relevant committees and boards.
7. The different advisory boards and committees in Horizon Europe, especially in relation to emerging science and technologies, as well as the mission-oriented programmes, should include competence in RRI, or at least transdisciplinary competence (including civil society representatives). In the further operationalisation of Horizon Europe's mission-oriented approach, RRI should be viewed as integral.

ANNEX I: LEARNINGS FROM ‘MOBILE NUCLEI’ IMPLEMENTATION

A Mobile Nucleus (MN) was an event or activity that a host organisation agreed to include or incorporate into existing events or projects. It could be part of running science communication or science dissemination event (e. g. science festival, science week, researchers’ night or other regular formats). In some cases, an enlargement or a further development of an existing activity was considered a MN as well the host organisations were of different kinds: universities, NGOs, science centres or municipalities among others.

MN formats were co-defined in a collaborative process involving the NUCLEUS partners and the host organisations. The “Mobile Nuclei Menu” consisted of seven different formats all of which focused on inviting different partners and addressing new audiences (see Table 1). The implementation, the content and the participants of the MN varied – depending on the respective context as well as the needs and individual profiles of the host organisations.

	Name	Type
1	RRI Training for researchers and scientists. RRI Basecamp, getting a grip of the basics (and more)”	Training
2	Science and you: installation to collect peoples’ connections/expectations/feelings to science.	Installation
3	Hackatons on real problems in cities *Only if there is a planned activity like this that could be enhanced with the Mobile Nucleus budget	Co-design
4	RRI stakeholder workshop: open discussions for close collaborations- (Mapping and navigation sketch)	Open discussion
5	Pop-up Science Shop on specific issues	Co-design
6	Discussion formats for citizens: Fish bowl and Reverse science café	Open discussion
7	Design Workshops	Co-design

Table 1: *The Mobile NUCLEI Formats.*

The learnings can be grouped into the following topics and themes:

a) Positive effects of science engagement

- Science public engagement formats and activities can have a real impact on the relationship between scientific stakeholders and institutions within society – by potentially building up much needed trust between different stakeholders as a base for future collaborations.
- Science engagement can stimulate co-responsibility of actors involved in the process of research and innovation.
- Results of discussions can be picked up as ideas for future collaborative projects.
- Even individual events and activities can enable inclusion of a variety of stakeholders in innovation processes and this experience can catalyse for inclusion not to be a random outcome but to become an intention and part of a strategy.
- Engagement activities can enhance a community’s sense of belonging, when topics are chosen and interaction happens in a familiar and meaningful way.

b) Conditions for enabling science engagement

- Get rid of complex terms, communicate in an easy and understandable language and avoid jargon because terminologies can trigger misunderstanding and resistance. Be precise, specific and relatable (break out of the RRI bubble).
- Provide spaces for “safe talks” where different stakeholders feel comfortable to communicate in an open and honest way.

c) Considerations for sustainable science engagement

- Consider adopting an umbrella topic that allows you to align different events towards an overarching aim – for example the Sustainable Development Goals. A large societal or political perspective can be helpful in provoking change.
- Initiatives on RRI are often coming 'only' from the scientific community - other stakeholders don't 'own' them. Ideally, RRI processes should be aligned with the aims and intentions of all/different stakeholders involved. Take this into account during project development.
- There is still a feeling within the RRI community that there are no ways of making the values of RRI really relevant for all stakeholders in the scientific community such as individual researchers or people within science management: What is the added value?
- City and regional administrations can be helpful in building bridges and sustainable structures. These processes need a back-up from strong stakeholders and policymakers, such as Mayors or other high political leaders.

ANNEX II: IMPLEMENTATION & EVALUATION INSTRUCTION GUIDE

This is a guidance document to support you successfully complete the 2nd implementation and evaluation cycle. The guide also includes comprehensive instructions on using the newly developed NUCLEUS Web Tool. The tool is based on the NUCLEUS Implementation Framework, which has been revised according to the feedback from all partner institutions and Executive Board members after the 1st Implementation period. The tool is designed to support the 10 Embedded Nuclei in the implementation and evaluation of their activities through 3 interlinked steps:

- 1) Self-Assessment questionnaire. You can select specific elements that correspond to the fields on which you are active. You need to fill in in detail what is the status of your institution regarding the element selected and in relation to the existing RRI landscape - both internal and external. The next step is unlocked only after successfully submitting the Self-Assessment.
- 2) Action Plan. This is linked to the first step; the elements selected for the Self-Assessment questionnaire will be directly introduced to the Action Plan. You can additionally select more elements in case you plan to address them. Relevant interventions are proposed according to the elements selected and institutions are asked to fill detailed information about how they plan to integrate them, the engaged cells etc. The Action Plan will serve as a strategic planning document for your institution's implementation phase.
- 3) Self-Reflection questionnaire. After each defined implementation period (check the timeline at the end of the document) you will complete the Self-Reflection questionnaire, reflecting on the implementation of the proposed interventions.

In addition to the NUCLEUS Web Tool and similar to phase 1 of implementation, you will also complete an Interim Report at the end of each implementation period. This report will support the project gather essential qualitative data. All interim reports in addition to the data collected in the Self-Assessment Questionnaire, Action Plans and the Self-Reflection Tool will provide a picture of progress over the course of the three implementation periods.

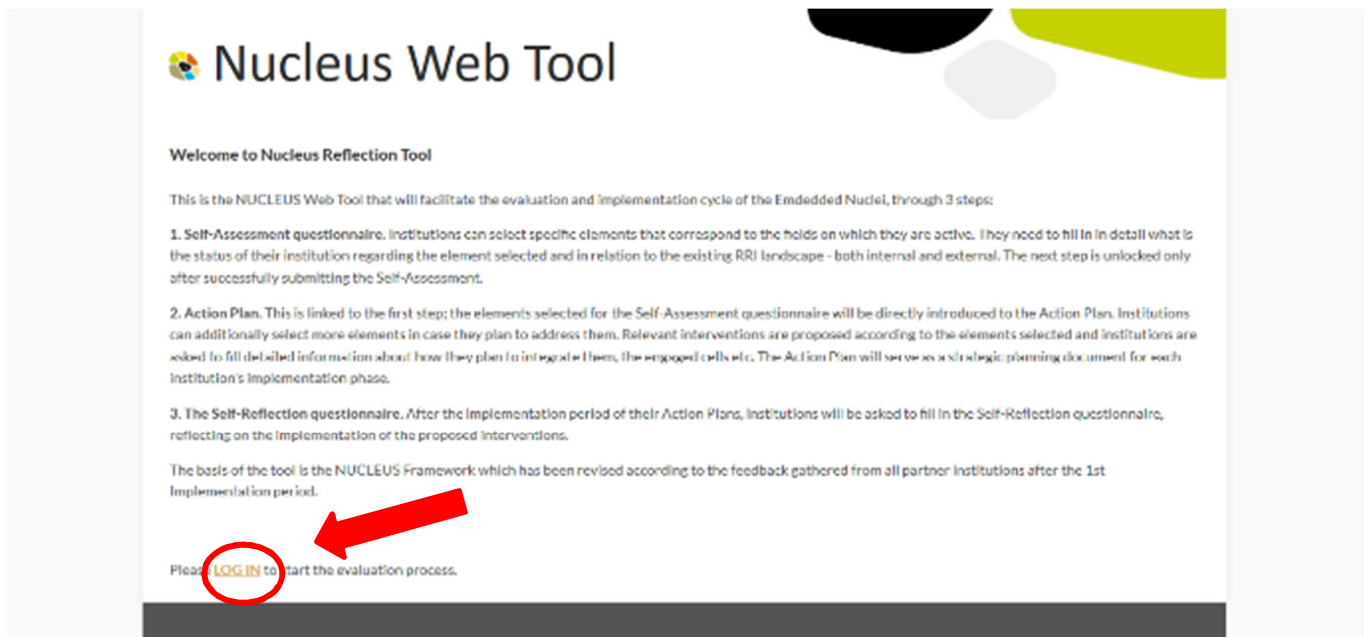
NUCLEUS WEB TOOL INSTRUCTIONS

STEP 0: LOG IN

You can access the NUCLEUS Web Tool through the url: evaluation.nucleus-project.eu
Or alternatively via the NUCLEUS website (<http://www.nucleus-project.eu>) by pressing the NUCLEI EVALUATION button:

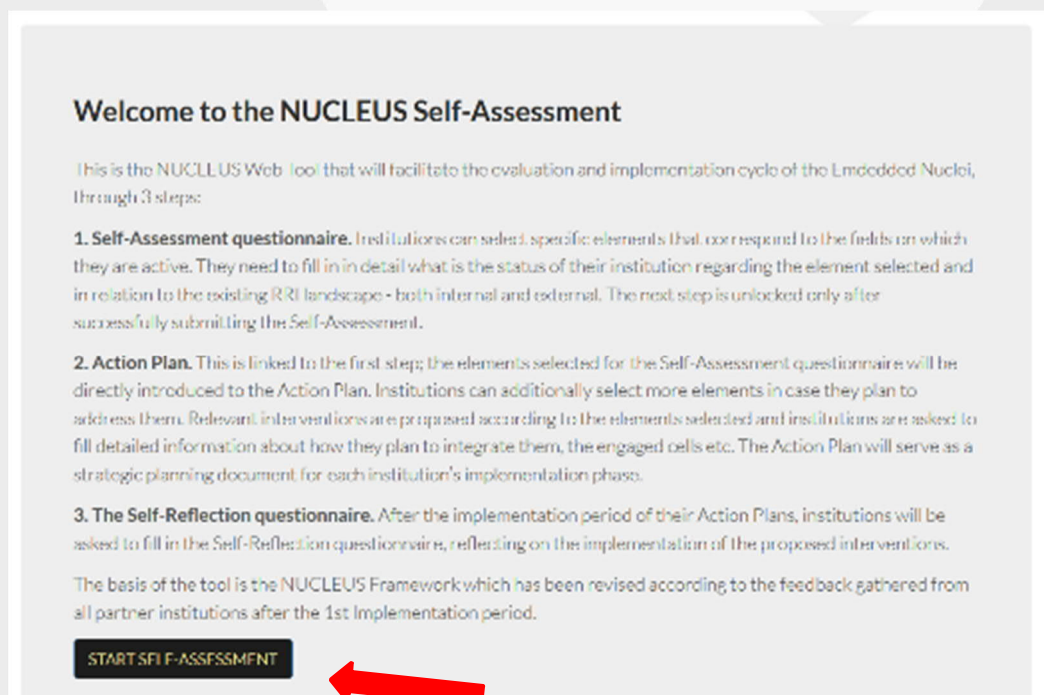


Each EN will be given individualised credentials (user name and password) via email, so they can log in to the web tool.



STEP 1: Self-Assessment Questionnaire

Once you are logged in you will get a message that you need to fill in the Self-Assessment questionnaire by pressing the button "Start Self-Assessment":



Once you click the button you are directed to the Self-Assessment questionnaire page. There is a complete list of all the elements from the NUCLEUS Framework, per Action.

You can select whichever element you think your institution addresses: it is not obligatory to select all elements. Once you select an element, a text box appears where you need to fill in detailed information on the status of your institution regarding the selected element and also in relation to the existing RRI landscape internal and external to the institution. The selected element will be highlighted and you can continue selecting and filling in the relevant info for the next element. *(Note: Even though it is not obligatory to fill in info on all the elements, it is necessary to fill in elements corresponding to all 6 Actions).*

You can save your progress and fill it in later. Also, you always have access to a downloadable pdf form of the SA. To proceed to step 2 you need to click the "Finish SA & Submit answers" button.

Check the screenshot below:

Nucleus Web Tool

Instructions

1. Self Assessment

Download SA in PDF

Selected element to assess

Download SA in pdf

You can save your progress and continue filling the SA later

2. Action Plan

in PDF

Save SA (continue later)

3. Self Reflection

Download SR in PDF

Submit the final SA

Finish SA & Submit Answers

Action 1: Develop RRI institutional capacity

1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI

1A.4 Appointed person/group responsible for implementing RRI

1A.5 Developed RRI Action Plan & Operational Plan

1A.6 Engage senior leadership commitment at the start of the process

1B.1 Set up a reporting structure with senior leaders to share developments and progress at pre-defined intervals over the project lifecycle

1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI

Please fill-in the status that you consider your institution is regarding the above and in relation to the existing RRI landscape - both internal and external to the institution:

Text box to be filled with relevant information regarding the status of the institution on the selected element while considering the existing RRI landscape

Once you finish and submit your answers you will receive an email with your SA Report in pdf format (it can be also downloaded from the Web Tool) as demonstrated below:



NUCLEUS **SELF ASSESSMENT REPORT**

Action 1: Develop RRI institutional capacity

1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI
ISU has a very strong Vice rector support, also an administrative departments are every time helpful and supportive if needed.

1A.4 Appointed person/group responsible for implementing RRI
ISU Appointed a person who is responsible catalyzing a step changes and embedding RRI in the University. If needed we can send a CV.

1A.5 Developed RRI Action Plan & Operational Plan
ISU Prepared RRI Action Plan and aligned it with ISU Research Plan 2018 – 2020.

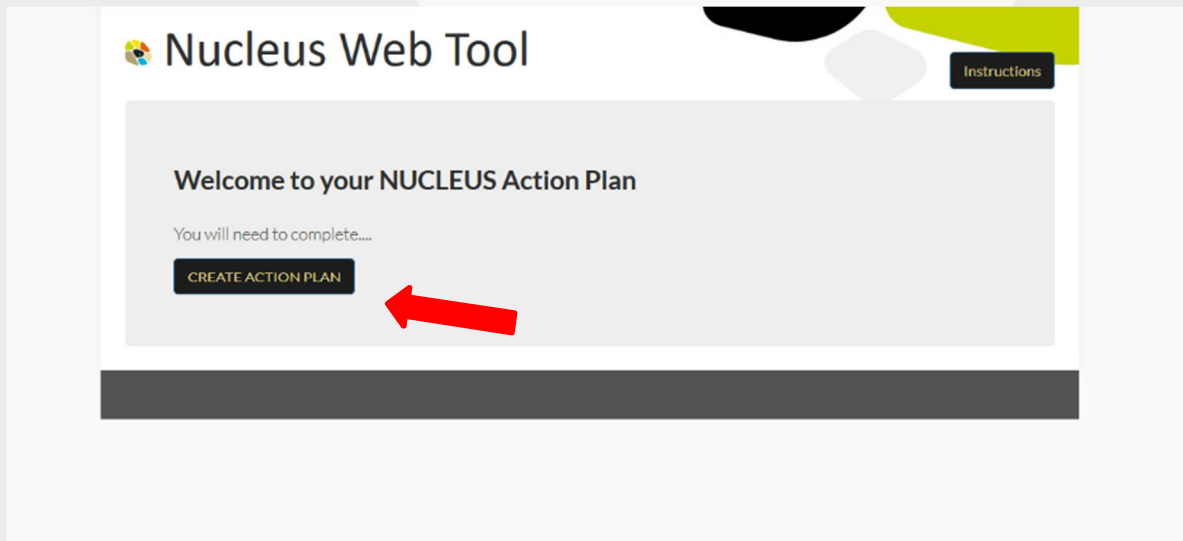
1A.6 Engage senior leadership commitment at the start of the process
ISU has a very strong Senior Leadership Support, Vice-Rector for Research and Academic Affairs supporting the NUCLEUS Embedding Pilot.

 **FUNDING** This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 664932.

date:

STEP 2: Action Plan

In order to proceed to the second step, first you need to properly submit the final version of the Self-Assessment questionnaire. Once you have successfully submitted it, the Action Plan page will be unlocked.



The Action Plan will serve as a strategic planning document for each institution's implementation phase. The Action Plan development page is interlinked with the Self-Assessment responses: the elements that you had selected for the Self-Assessment Questionnaire will automatically appear in the Action Plan. You have the option of adding additional elements in case you plan to address them in the next implementation period. Just click on the "Add More Elements" button and the list of all the elements will appear so you can choose accordingly.

After you select an element for the Action Plan, on the right side of the page you will see a relevant NUCLEUS intervention different for each element. Also, the related answer to the SA will be visible. Then you will be asked to fill in different text boxes regarding your plans in implementing this intervention. More specifically:

- A description of how you plan to deliver the chosen element
- Concrete expected outcomes of this activity/intervention
- What 'cells' (societal actors) will be engaged through the planned intervention
- The expected time schedule for delivering the intervention (it must be specific and with the implementation phase)

You can access to your previously submitted Self-Assessment questionnaire through downloadable PDF file. Also, you have the option to save the progress and continue the Action Plan later. To properly submit the Action Plan and unlock step 3 you need to click the "Finish AP & Submit answers" button. Please check the screenshot below:

1. Self Assessment

2. Action Plan

3. Self Reflection

Download
SA in pdf
form

Download SA in PDF

You can save your
progress and
continue filling
the AP later

Submit the final AP

Selected element from SA

Action 1: Develop RRI Institutional capacity

1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI

1A.4 Appointed person/group responsible for Implementing RRI

1B.1 Set up a reporting structure with senior leaders to share developments and progress at pre-defined intervals over the project lifecycle

Click to add more
elements to your
AP

+ Add more elements

Action 2: Build institutional bridges between the research community, stakeholders and the general public

2A.2 Set-up meetings to establish/manage expectations with six stakeholder groups: Public Policy, Media, Economy, Public Engagement entities, Civil Society

2A.4 Set up meetings with local funding agencies to highlight the scope and importance of RRI

2B.2 Developed platforms for sharing best practice approaches across stakeholder network

+ Add more elements

Action 3: Catalyse ongoing debates about the role of science in society

+ Add more elements

Action 4: Develop, nurture and support new forms of transdisciplinary research

+ Add more elements

Action 5: Stimulate the responsibility of all actors involved in the process of research and innovation

+ Add more elements

Action 6: Question and refine the prevailing notion of 'recipients' and 'agents'

+ Add more elements

Save AP (continue later)

Finish AP & Submit Answers

1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI

Your answer on the SA:

Your SA answer will be visible here

NUCLEUS proposed intervention:

Identify key functions/personnel/initiatives in the institute which can support the implementation of RRI

Please fill your plan for this intervention.

Description of the activity:

Provide here a detailed description of the planned activity

Outcomes expected:

Here provide a set of concrete outcomes that are expected through the implementation of the activity

Cells to be engaged:

- ☐ Governance
- ☐ Public Engagement
- ☐ Civil Society
- ☐ Media
- ☐ Public Policy
- ☐ Economy

Tick one or more cells that are going to be engaged through the activity

Time Schedule

Provide the time schedule for the implementation of the activity. Have in mind that there is also the online project management & monitoring tool in basecamp to support you during the implementation

Use this button to navigate upwards on the page and insert content to selected actions





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Once you finish and submit your answers you will receive an email with your Action Plan in pdf format (it can be also downloaded from the Web Tool) as demonstrated below:

The screenshot shows a PDF report titled "NUCLEUS ACTION PLAN REPORT". It details "Action 1: Develop RRI institutional capacity" and specifically "1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI". The form includes sections for "Your answer", "NUCLEUS Proposed Intervention", "Description of activity", "Outcomes expected", and "Cells to be engaged". The "Your answer" section contains text about ISU's strong Vice rector support and administrative departments. The "Description of activity" section mentions ISU's existing RRI implementation efforts and the need for staff and financial resources. The "Outcomes expected" section identifies a key person for embedding RRI. The "Cells to be engaged" section is currently empty. At the bottom left, there is a funding notice from the European Union's Horizon 2020 research and innovation programme under grant agreement No 664932. At the bottom right, there is a "date:" field.

NUCLEUS ACTION PLAN REPORT

Action 1: Develop RRI institutional capacity

1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI


Your answer
ISU has a very strong Vice rector support, also an administrative departments are every time helpful and supportive if needed.

NUCLEUS Proposed Intervention
Identify key functions/personnel/initiatives in the institute which can support the implementation of RRI

Description of activity
ISU has a very strong Vice rector support, also an administrative departments are every time helpful and supportive if needed. ISU has already Identified person who will be responsible for implementation of RRI . also key functions/personnel/initiatives in the institute which can support the implementation of RRI. Improvements to the institutional R&I cab be done to consult with the field experts and enhance technical assistance towards this goal, for instance organizing RRI workshops and trainings to the responsible staff managing R&I activities on campus. This is related to staff and financial resources, and having an RRI expert/responsible staff person greatly enhance to allow responsible improvements in the R&I.

Outcomes expected
Identified key person who is responsible for embedding RRI

Cells to be engaged

 **FUNDING** This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 664932.

date:

The Action Plan will be locked upon submission for the period in question. If any changes to the submitted Action Plan for the period occur, including the addition of additional work or if an element selected cannot be delivered, all Embedded Nuclei partners must complete an Amendment Report, which can be found [here](#) on Basecamp.

STEP 3: Self-Reflection

The third step of the NUCLEUS Web Tool is the Self-Reflection questionnaire. It is linked with the activities described in the Action Plan. The same activities/elements from the Framework will automatically appear in the Self-Reflection questionnaire so that institutions can respond to reflective questions on their implementation.



Nucleus Web Tool

Instructions

1. Self Assessment

2. Action Plan

3. Self Reflection

Download AP in pdf form

Download AP in PDF

Save SR (continue later)

Finish SR & Submit Answers

Selected element from AP

Action 1: Develop RRI institutional capacity

Key functions/personnel/Initiatives in the Institute which can support the implementation of RRI

Person/group responsible for implementing RRI

Reporting structure to share developments and progress with senior leadership at pre-defined intervals

RRI Champions within the institution

Action 2: Build institutional bridges between the research community, stakeholders and the general public

Regular meetings with six stakeholder groups: Public Policy, Media, Economy, Public Engagement entities, Civil Society

Meetings with local funding agencies to highlight the scope and importance of RRI

Link to AP

Please reflect in relation to what you described in your AP. ([link](#))

How did you introduce/lead/conduct the element and to what extent were the cells engaged?

Describe here how the element was implemented and to what extent the expected outcomes were achieved

Cells engaged:

☐ Governance
 ☐ Public Engagement
 ☐ Civil Society
 ☐ Media
 ☐ Public Policy
 ☐ Economy

Time implemented?

Provide the time schedule during which the activity was implemented

Once you finish and submit your answers you will receive an email with your Self Reflection Report in pdf format (it can be also downloaded from the Web Tool). The Self Reflection Report includes both the content from the Action Plan and the answers to the Self Reflection Questionnaire for each selected element/activity.



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NUCLEUS

SELF REFLECTION REPORT

Action 1: Develop RRI institutional capacity

1A.3 Identified key functions/personnel/initiatives in the institute which can support the implementation of RRI

Your answer

NUCLEUS Proposed Intervention

Identify key functions/personnel/initiatives in the institute which can support the implementation of RRI

Description of activity

Provide here a detailed description of the planned activity

Outcomes expected

Here provide a set of concrete outcomes that are expected through the implementation of the activity

Cells to be engaged

Governance

Time schedule

Provide the time schedule for the implementation of the activity. Have in mind that there is also the online project management & monitoring tool in



FUNDING This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 664932.

date:

Page 1: Answers from the
Action Plan



NUCLEUS

SELF REFLECTION REPORT

basecamp to support you during the implementation

Indicator

Key functions/personnel/initiatives in the institute which can support the implementation of RRI

Related Question

How did you introduce/lead/conduct the element and to what extent were the cells engaged?

Your Answer

Describe here how the element was implemented and to what extent the expected outcomes were achieved

Cells engaged

Governance, Public Engagement, Civil Society

Time implemented

Provide the time schedule during which the activity was implemented

1A.4 Appointed person/group responsible for implementing RRI



FUNDING This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 664932.

date: 11/01/2019

Page 2: Answers from the
Self-Reflection

Once you finish the whole cycle by successfully completing the Self Assessment, Action Plan and Self reflection you can view/download all developed reports:

Nucleus Web Tool

Instructions

Thank you for completing the entire evaluation process of Nucleus

View & Download all PDFs



You can download all SA, AP
and SR reports in pdf

After you press the above button you can select to restart the whole process from the beginning.

Nucleus Web Tool

Instructions

1. Self Assessment

2. Action Plan

3. Self Reflection

Download AP in PDF

Download SR in PDF

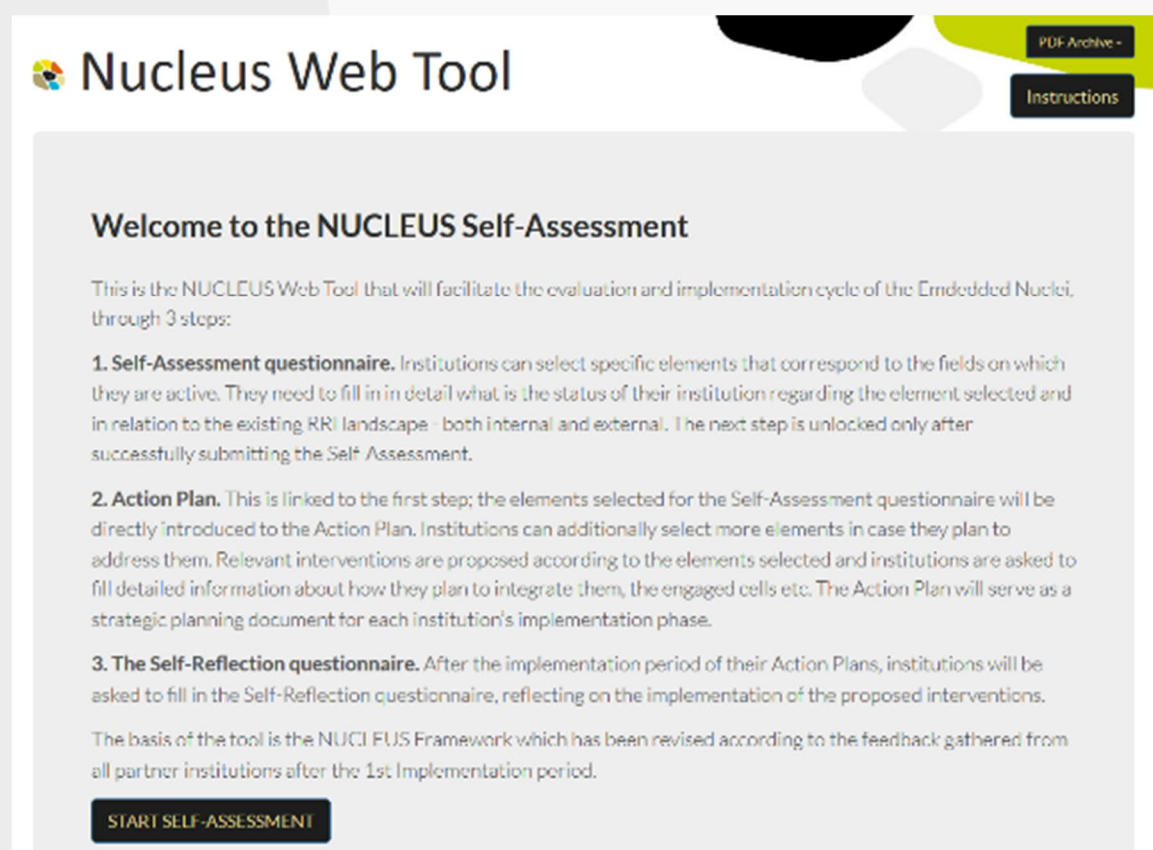
Please find your PDF files above.

Want to restart and start over?

WARNING: Pressing Restart will restart the entire process. Current PDFs will be stored and will be available through PDF Archive button (top right).

YES, PLEASE RESTART

Please note that while starting the whole cycle from the start, all previous pdf reports will be stored and will be accessible through the "Archive" button:



Interim Report

In addition to using the NUCLEUS Web Tool all ENs will complete the Interim Report after the end of the implementation period, similarly to what was done in the 1st Implementation phase. The template for this will be shared by WP5 Leader, DCU. The completion of the document allows partners to include qualitative account and insights into progress made with each Action in the NUCLEUS Action Framework. By the completion of the project, each institution will have 3 reports completed. The qualitative accounts on progress will support the project partners develop final policy recommendations while also supporting you provide evidence of progress since the Implementation Phase to your senior leadership.



NUCLEUS

DOCUMENT DESCRIPTION

This report summarises the main results of the NUCLEUS project.

DOCUMENT INFO

Title:	Consolidated Operational Recommendations and Guidelines for Implementation (CORGI)
Authors:	Menelaos Sotiriou (Science View, Greece); Prof. Alexander Gerber (Rhine-Waal University, Germany)
Submission Date:	21 May 2020


DISSEMINATION


Dissemination Level: public


PROJECT

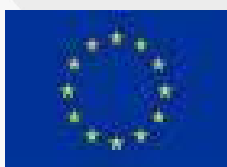
NUCLEUS was a four-year, Horizon 2020 project bringing Responsible Research and Innovation (RRI) to life in universities and research institutions. The project was coordinated by Prof. Alexander Gerber from Rhine-Waal University. For more info, please visit the NUCLEUS website, or contact the coordinator: info@nucleus-project.eu

NUCLEUS ONLINE

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