

Rethinking 'excellence' for responsible research and innovation

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UCL DEPARTMENT OF SCIENCE AND TECHNOLOGY STUDIES



- 1. Why RRI matters
- 2. Science, innovation and inequality
- 3. RRI as opening up
- 4. Closure and 'excellence'
- 5. Rethinking 'good science'
- 6. RRI and the University



Tesla Model S travels with the Autopilot activated

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Lil Food Ranch

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Windshield hits trailer which trears up the roof, but vehicle passes underneath

> The Model S continues on the road for a few hunderd feet but veered off and hit a fence

Source: electrek.com

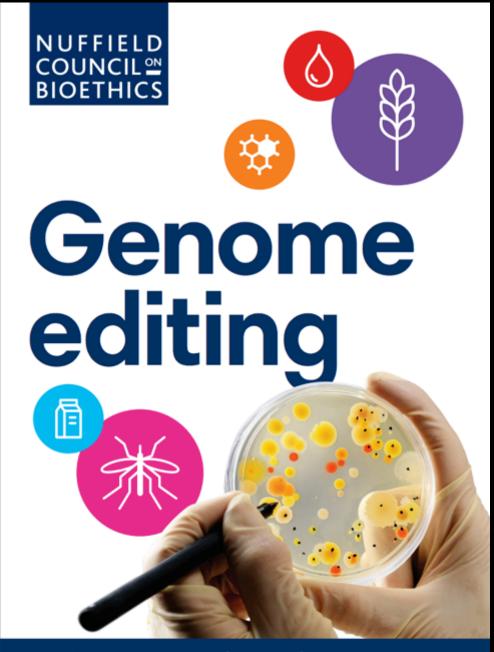
It went through another fence and a pole before stopping ALT

27

3D

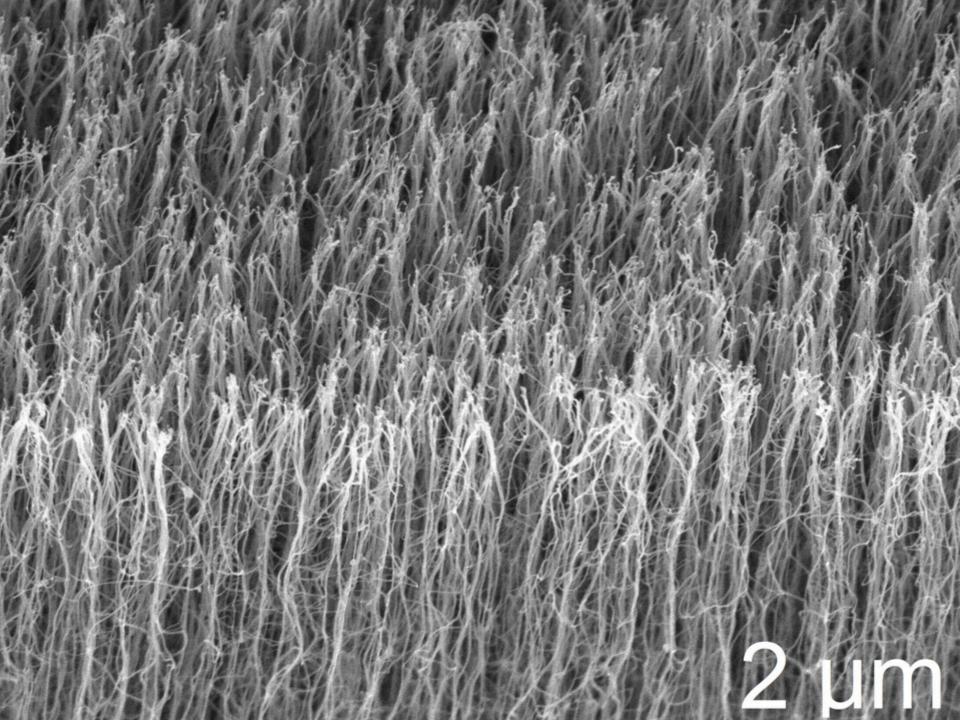
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an ethical review - a short guide







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nature International weekly journal of science								
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News & Comment Comment 2016 October Article								

#### NATURE | COMMENT

### There is a blind spot in AI research

Kate Crawford & Ryan Calo

13 October 2016

Fears about the future impacts of artificial intelligence are distracting researchers from the real risks of deployed systems, argue Kate Crawford and Ryan Calo.

#### Rights & Permissions

Subject terms: Technology · Ethics · Society



### Science, innovation and inequality















DRACU

**aziz** @azizshamim



OH: SF tech culture is focused on solving one problem: What is my mother no longer doing for me?



# nature

# WORLD VIEW A personal take on events



# Scientific research must take gender into account

From car design to drug discovery, the failure to acknowledge sex differences can be costly and even lethal, argues Londa Schiebinger.

n Madrid a couple of years ago, I was interviewed for Spanish newspapers. When I later ran the text through Google Translate, I got a shock: I was referred to repeatedly as "he".

Like much science and technology, Google Translate has a male default. When I drive a car, the seatbelt is not designed to accommodate breast tissue. Any medicines I take are more likely to have been tested on male than on female animals. There are moral issues here: women pay taxes and buy products and should not be short-changed. But scientific objectivity is at stake, too. Because medical research is unconscious bias. Applicants to its newly opened Horizon 2020 funding scheme are now asked to include gender analysis in their projects — for example, to assess whether the research will have different implications for women and men. The commission identified dozens of science areas that could benefit from gender analysis: computer hardware and architecture, biodiversity, ecology, biophysics, oceanography, geosciences, organic chemistry, aeronautics, space medicine and some 40 others, including nanotechnology (astrophysics did not make the cut).

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	transgenders are freaks					
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transgenders are crazy



# How tech's lack of diversity leads to racist software

By Wendy Lee Updated 11:01 am, Wednesday, July 22, 2015

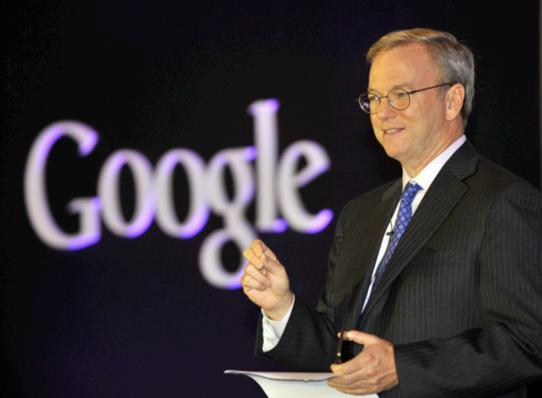




# Pope Francis World Economic Forum, 2014

"Those who have demonstrated their aptitude for being innovative and for improving the lives of many people by their ingenuity and professional expertise can further contribute by putting their skills at the service of those who are still living in dire poverty."





"In the future, people will spend less time trying to get technology to work ... If we get this right, I believe we can fix all the world's problems."

Eric Schmidt, Google

"There are a lot of really big issues for the world that need to be solved and, as a company, what we are trying to do is to build an infrastructure on top of which to solve some of these problems."

Mark Zuckerberg, Facebook

"I am optimistic enough about this that I am willing to make a prediction. By 2035, there will be almost no poor countries left in the world." Bill Gates, 2014 "This disparity between rich and poor has been noticed... Whatever else survives to the year 2000, that won't."

CP Snow, 1959

### RRI as opening up

# Pathologies of innovation

- Late lessons from early warnings (EEA)
- The dilemma of control (David Collingridge)
- Systemic risk and normal accidents (Charles Perrow)
- Technological lock-in (Paul David)
- Myths of technological fixes (Dan Sarewitz)
- Altered nature of human action (Hans Jonas)
- Organised irresponsibility (Ulrich Beck)
- Hype and Expectations (Brown, Hedgecoe et al.)
- Deficit models of publics (Brian Wynne)
- Technologies as experiments; Society as a laboratory (Krohn and Weyer)

# The *what,* the *how* and the *why* of innovation

#### **Products**

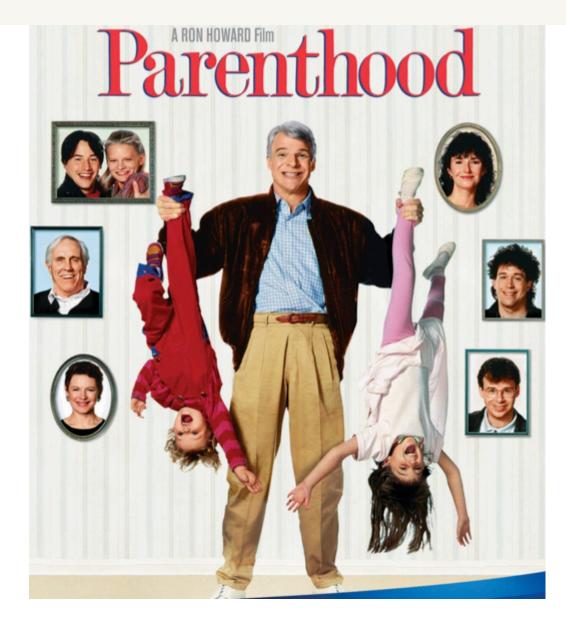
- Processes
- What are the likely risks and benefits ?
- What other impacts can we predict ?
- How might these change in the future?
- What don't we know about?
- What might we never know about?
- How will the risks and benefits be distributed?

- How should research and innovation take place?
- How should standards be drawn up and applied?
- How should risks and benefits be defined and measured?
- Who is in control?
- Who will take responsibility if things go wrong?
- What if we are wrong?

#### **Purposes**

- Why should this research be undertaken?
- Who will benefit ?
- What are the alternatives?
- Who gets to decide?

### Responsibility



### Anticipation

- Participatory, not predictive
- Understanding expectations,
   promises

### Inclusion

- Public engagement
- User-driven innovation
- Value-centred design

### Responsible (research and) Innovation

### Reflexivity

1<sup>st</sup> and 2<sup>nd</sup> order

### Responsiveness

- Answering and reacting /
- Understanding the political economy of innovation

### EPSRC

#### Engineering and Physical Sciences Research Council

#	FUNDING	RESEARCH	INNOVATION	SKILLS	NEWS, EVENTS AND PU	JBLICATIONS	ABOUT US	
Research	>	Home / Research / Framework	for Responsible Innovation					
Framework fo Innovation	r Responsible	Framewo	ork for F	Respoi	nsible Inno	ovation		
Anticipate, ref and act (ARE)	flect, engage	EPSRC is committed to deve	elop and promote Respon	sible Innovation. Ti	is site reaffirms our own			
Support		<ul> <li>commitment and sets out our expectations for the researchers we fund and their research organisations.</li> <li>Introduction</li> </ul>						
Expectations		Responsible Innovation is a process that seeks to promote creativity and opportunities for science and innovation that are socially desirable and undertaken in the public interest. Responsible Innovation acknowledges, that innovation can raise questions and dilemmas, is often ambiguous in terms of purposes and motivations and unpredictable in terms of impacts, beneficial or otherwise. Responsible Innovation creates spaces and processes to explore these aspects of innovation in an open, inclusive						
Acknowledger resources	ments and							
			role to play. It includes, but	here funders, researchers, stakeholders and the but goes beyond, considerations of risk and				

**O** Search

# 'Open science': Windows or doors?



# Against Excellence



# Supporting top researchers from anywhere in the world

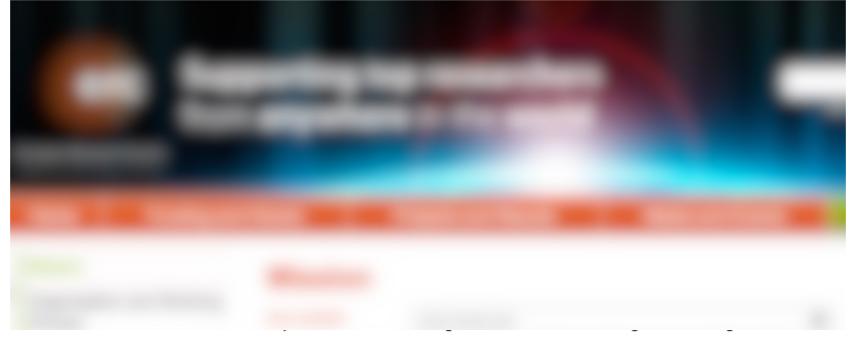
European Research Council

Established by the European Commission

Home Funding and		d Grants Projects and Results Media and Eve		Media and Events			
Mission		Missio	n				
Organisation and Working Groups		MISSIC					
		Also available	(EN) ENGLISH	(EN) ENGLISH			
History		The ERC's mission is to encourage the highest quality research in Europe through					
Reviews and Development		competitive funding and to support investigator-driven frontier research <sup>(?)</sup> across all fields, on the basis of scientific excellence. The ERC complements other funding activities in Europe such as those of the national research funding agencies, and is a flagship component of Horizon 2020 <sup>(?)</sup> , the European					
Facts and Figures							
Job Opportunities		Union's Research Framework Programme for 2014 to 2020.					
Calls for Tender		Being 'investigator-driven', or 'bottom-up', in nature, the ERC approach allows researchers to identify new opportunities and directions in any field of research, rather than being led by					
Useful links		priorities set	by politicians. This ensures that funds ar arch with a greater degree of flexibility.	• • •			
		ERC grants are awarded through open competition to projects headed by starting and established researchers, irrespective of their origins, who are working or moving to work in Europe. The sole criterion for selection is scientific excellence. The sim here is to recognize					

Europe. The sole criterion for selection is scientific excellence. The aim here is to recognise the best ideas, and confer status and visibility on the best brains in Europe, while also attracting talent from abroad.

Adv



The sole criterion for selection is scientific excellence. The aim here is to recognise the best ideas, and confer status and visibility on the best brains in Europe



"In the pursuit of excellence, [my view] offers no part to the popular will and accepts instead a condition of society in which the public interest is known only fragmentarily and is left to be achieved as the outcome of individual initiatives aiming at fragmentary problems."

Polanyi, 1962, The Republic of Science

"[Mode 2 demands] a redefinition of excellence among academics, of their career aspirations, of their disciplinary contributions, and their institutional loyalties."

# The new production of knowledge

dynamics of science and research in contemporary societies Mic

The

Michael Gibbons Camille Limoges Helga Nowotny Simon Schwartzman Peter Scott Martin Trow

### Science in Dialogue

Towards a European Model for Responsible Research and Innovation

Odense, Denmark 23-25 April 2012

'We need to shift the focus from aspiring to creating the best science *in* the world to aspiring to creating the best science *for* the world.' eu2012.dk

Morten Østergaard, 23 April 2012





#### 'Excellence is the way forward.'

### Morten Østergaard, 17 April 2012

# Excellence and relevance are drifting further apart



### Excellence as unhealthy competition

Science and Engineering Ethics Science and Engineering Ethics

December 2007, Volume 13, Issue 4, pp 437–461

#### The Perverse Effects of Competition on Scientists' Work and Relationships

Authors

Authors and affiliations

Melissa S. Anderson 🖂 , Emily A. Ronning, Raymond De Vries, Brian C. Martinson

### Good Science?





### What is good for scientists, may be bad for science and society

### ROYAL SOCIETY **OPEN SCIENCE**

### rsos.royalsocietypublishing.org

#### Research



Cite this article: Smaldino PE, McElreath R. 2016 The natural selection of bad science. R. Soc. open sci. 3: 160384. http://dx.doi.org/10.1098/rsos.160384

Received: 1 June 2016 Accepted: 17 August 2016

### The natural selection of bad science

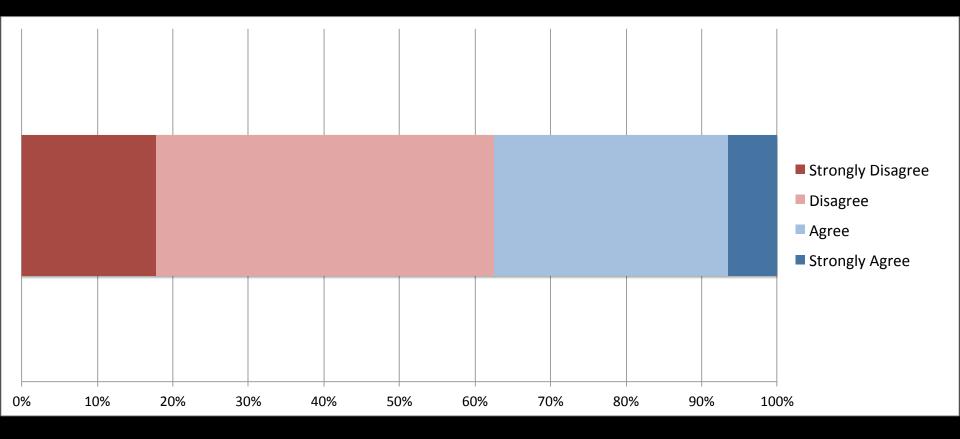
### Paul E. Smaldino<sup>1</sup> and Richard McElreath<sup>2</sup>

<sup>1</sup>Cognitive and Information Sciences, University of California, Merced, CA 95343, USA <sup>2</sup>Department of Human Behavior, Ecology, and Culture, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany

PES, 0000-0002-7133-5620; RME, 0000-0002-0387-5377

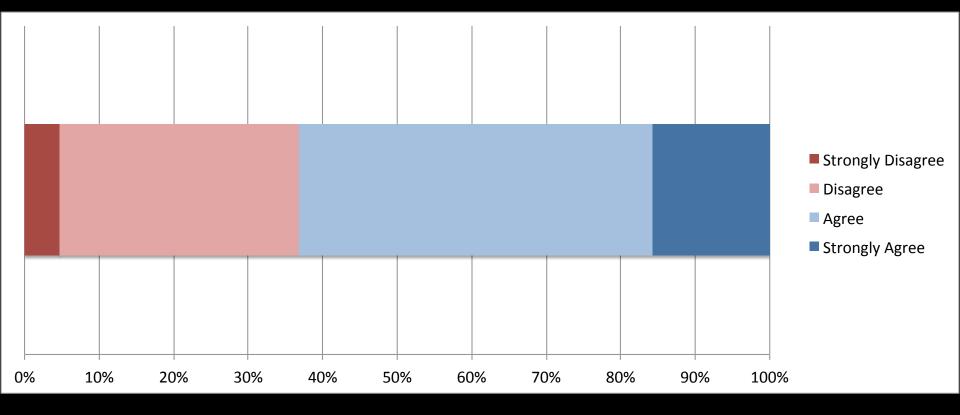
Poor research design and data analysis encourage false-positive findings. Such poor methods persist despite perennial calls for improvement, suggesting that they result from something more than just misunderstanding. The persistence of poor methods results partly from incentives that favour them, leading to the natural selection of bad science. This dynamic requires no conscious strategizing-no deliberate cheating nor loafingby scientists, only that publication is a principal factor for career advancement. Some normative methods of analysis have almost certainly been selected to further publication instead of

"In government decisions about research funding, the scientist's intellectual curiosity should be much less important than the potential of the research to improve people's lives"



Source: Barry Bozeman, Research Value Mapping, National Survey of Academic Scientists (n= 2,010; mean=2.26)

#### "Government has too big a role in setting priorities for research"

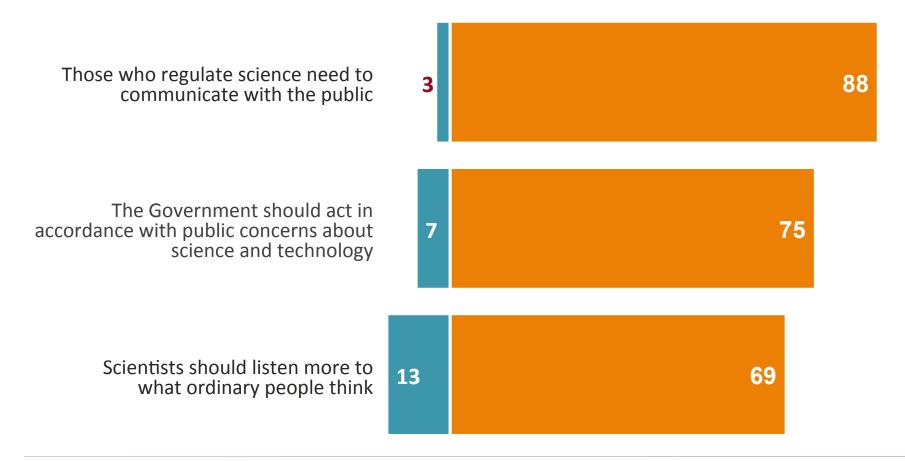


Source: Barry Bozeman, Research Value Mapping, National Survey of Academic Scientists (n= 2,026; mean=2.74)

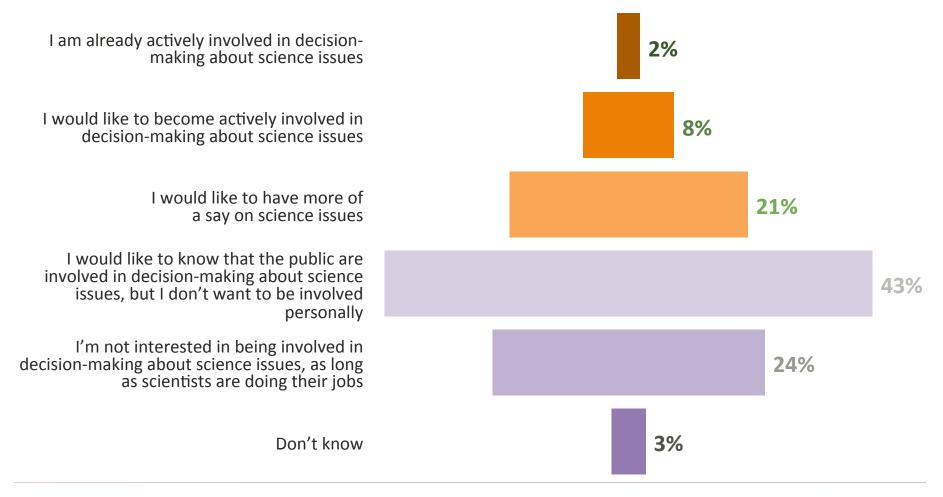
### A Democracy deficit?

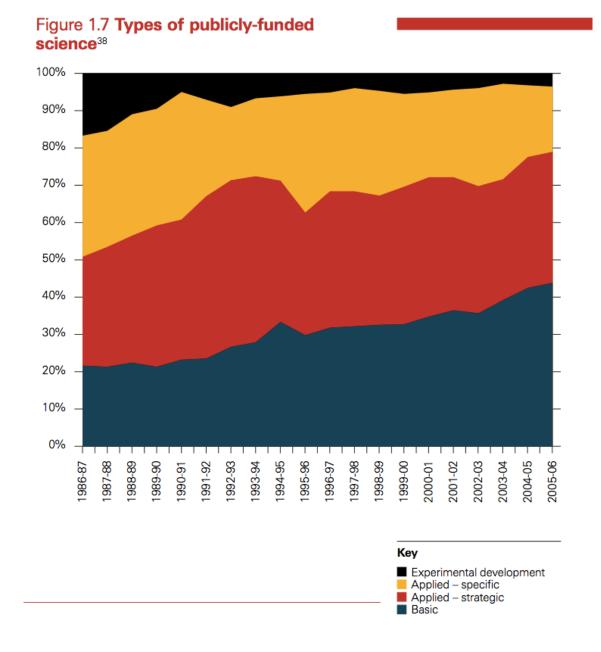
#### Q. To what extent do you agree or disagree with the following statements?

📕 % agree 🛛 📕 % disagree



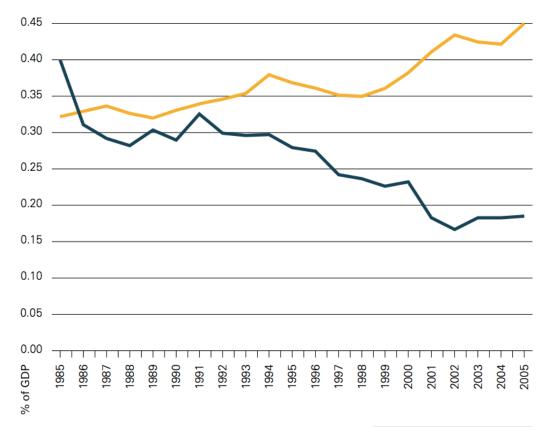
#### **Q.** Which of these statements, if any, comes closest to your own attitude to decisionmaking about science issues?





## University research is booming, but under strategic pressure

Figure 3.3.1 Public Expenditure on Research and Development as a percentage of GDP by sector, 1985-2007<sup>1</sup>



Key

Higher Education Government

## RRI and the university



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Continued -

### Shared space

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