

# **CROSSING BORDERS, TRANSCENDING BOUNDARIES: RESULTS FROM THE CROSS- CULTURAL ADAPTATION OF THE INTERDISCIPLINARY STUDY**

*Anne Dijkstra, Mirjam Schuijff (UT)*

*YAN Shi, YIN Lin, ZHENG Nian, HE Wei  
(CRISP)*

*Shadrack Mkansi, Jabu Nukeri (SAASTA)*



*This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No. 664932.*



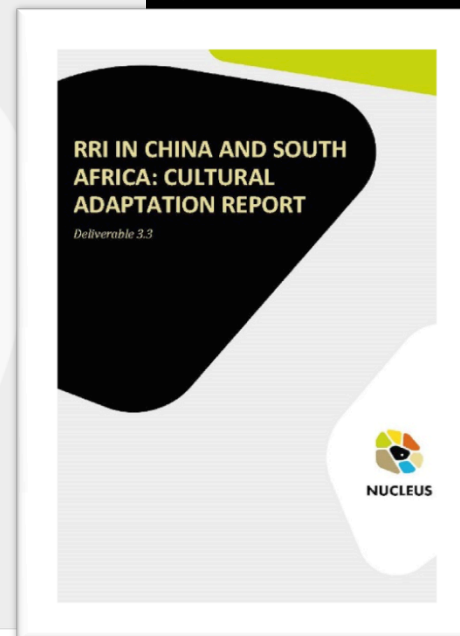
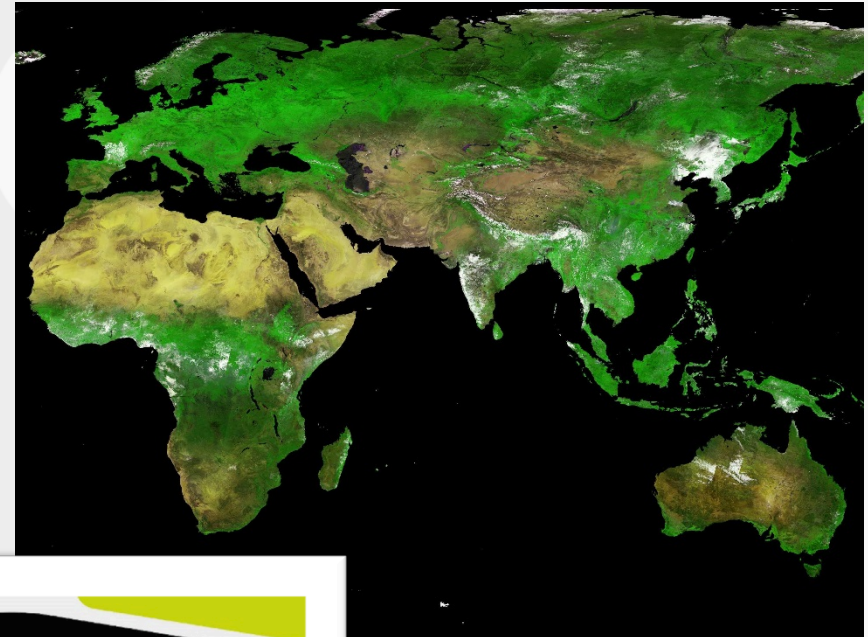
**NUCLEUS**

# OUTLINE

- Overview and study design (Anne Dijkstra)
- Case China (Yan Shi)
- Case South Africa (Shadrack Mkansi)
- Conclusions (Mirjam Schuijff)
- Panel discussion

# CULTURAL ADAPTATION STUDY

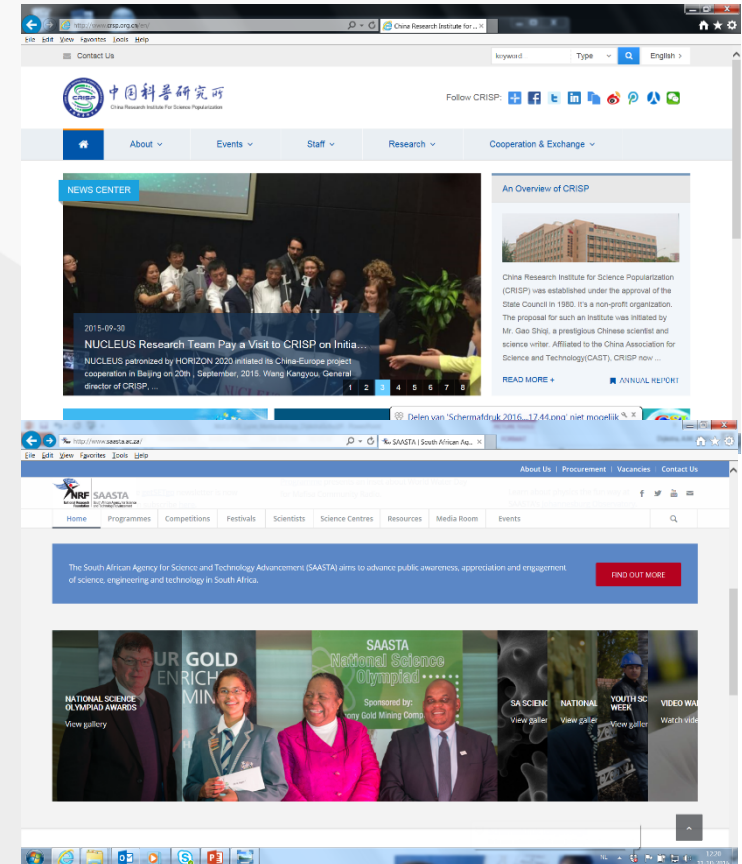
- Different contexts enrich the view on RRI
- Two cases: China and South Africa
- Feeds into Implementation Roadmap



# INVOLVED PARTNERS

- China – **CRISP** = China Research Institute for Science Popularization
- South Africa – **SAASTA** = South African Agency for Science and Technology Advancement
- Netherlands – **UT** = University of Twente
- Collaborative effort

UNIVERSITY OF TWENTE.



# RESEARCH QUESTIONS

- How are RRI and other relevant concepts implemented in international contexts?
- What are barriers and successes to the future implementation?
- What can be recommended for the future implementation of RRI in institutions?

# METHODOLOGY

- **Multi-method approach** – enables to use a rich variety of sources which leads to more insightful understandings and increased diversity (Greene et al, 2001)
- **Qualitative**
- Same design in both cases
- **‘Literature’ studies:** e.g. academic literature, reports, policy documents, books, presentations, field trip reports, personal communications, emails
- **Interviews:** 13 in South Africa, 30 in China

UNIVERSITY OF TWENTE.



# INTERVIEWS

- About one hour, **semi-structured**
- Questions were **tested and adapted**
- Background; challenges for research and society; engagement; impacts of research on society; governance of research; changes foreseen in practices and policies; responsibilities; support
- **What is necessary for Europe to realise RRI?**

# USED DEFINITIONS OF RRI

- *Von Schomberg* (2013, p.19) = transparent, interactive process, **mutually responsive**
- *European Commission* = **inclusive approach**, align process and outcomes, anticipating implications and societal expectations
  - Five thematic keys – Gender, Open Access, Science Education, Engagement, Research Ethics
  - Three O's – open to science, innovation, the world



# ANALYSIS AT DIFFERENT LEVELS

- Conceptual, governmental, institutional, individual
- Looking for striking aspects in the 'themes', adapted from the EU keys
- Translated to recommendations for governments, institutes and individual researchers

# ADAPTED THEMES

- **Equality** – e.g. including ethnic groups, equal access to universities
- **Science education, outreach and open access** – innovation requires science education and outreach, access to information
- **Stakeholder and public engagement** – to bring together, exchange views
- **Ethics and broader impacts** – moral considerations, impacts to lives, society, environment



# CASE CHINA

*YAN Shi (China Research  
Institute for Science  
Popularization, CRISP)*



*This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No. 664932.*



**NUCLEUS**

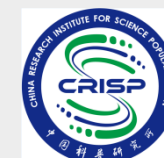
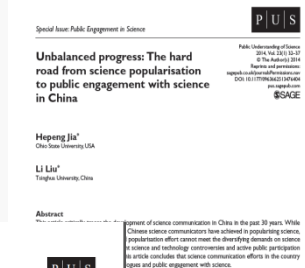
# CHINA

Case description based on:

- Literature findings from various sources
- Interviews (n=30), face-to face, 11 females, 19 males, 22 from universities, 8 from institutes, age between 30-64



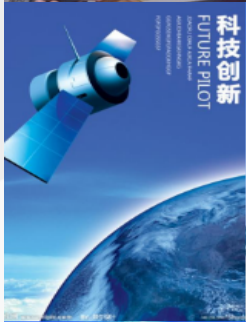
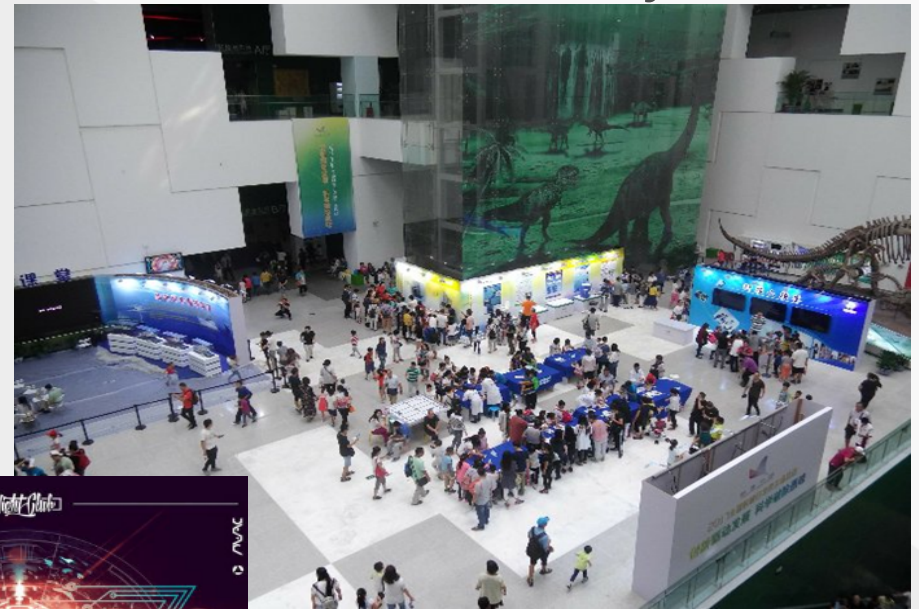
UNIVERSITY OF TWENTE.



NUCLEUS

# IN CHINA

- RRI reflected in social responsibility
- Strong emphasis on innovation via scientific literacy and science popularization



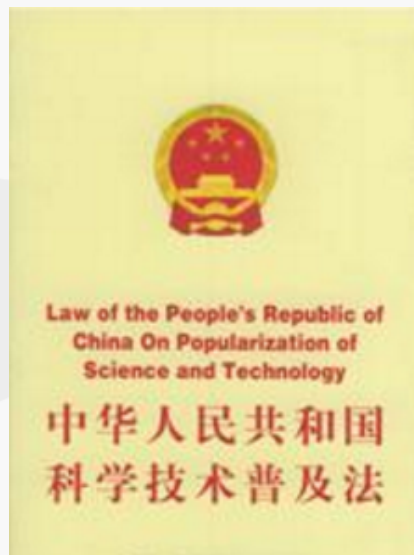
UNIVERSITY OF TWENTE.



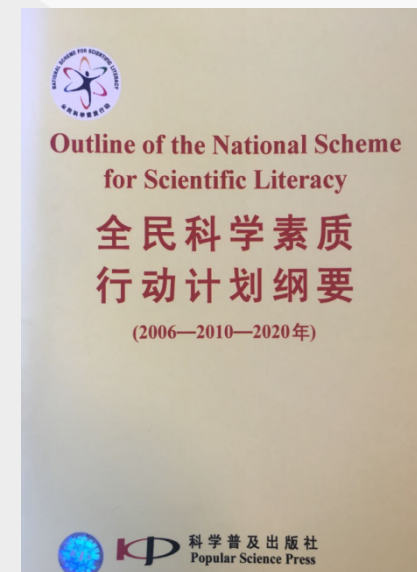
NUCLEUS

# AT THE GOVERNMENTAL LEVEL...

- At the governmental level, popularization of science and technology is part of a national strategy which is reflected in various policy documents.



2002



2006

UNIVERSITY OF TWENTE.





## AT THE INSTITUTIONAL LEVEL...

- Science education takes place both inside and outside schools.
- Many organizations have their own bureaus or departments for science popularization and communication.



UNIVERSITY OF TWENTE.



# AT THE INDIVIDUAL LEVEL...

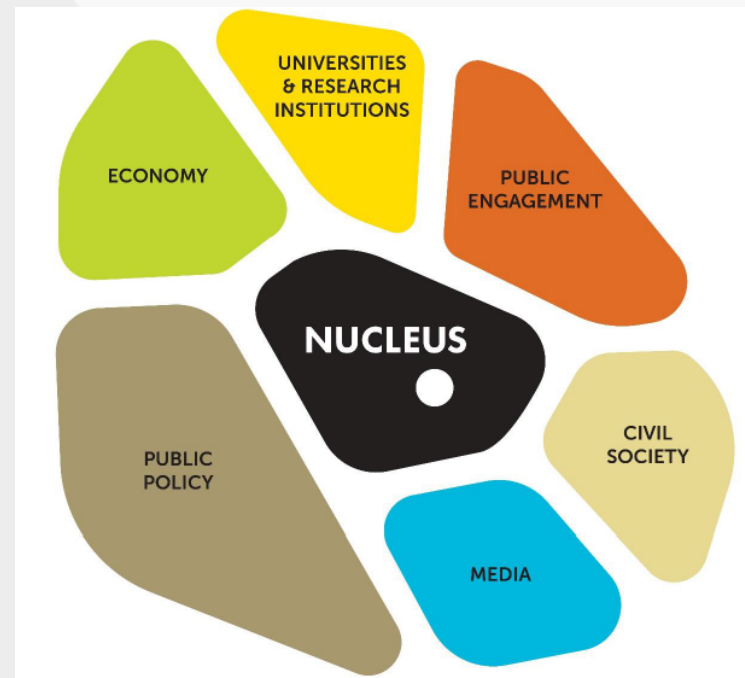
- At the individual level, topics where (public) debate is 'on the rise' – GM food, air pollution (sustainability).....
- Some increasing awareness of need for research ethics, engagement, impacts





# CHINA

- At all levels 'practices' found to foster social responsibility



UNIVERSITY OF TWENTE.



NUCLEUS

# CASE SOUTH AFRICA

*Shadrack Mkansi (SAASTA)*



*This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No. 664932.*



**NUCLEUS**

# SOUTH AFRICA

Case description based on:

- Literature findings from various sources
- Interviews (n=13), via Skype, 12 male, 1 female, age between 38-75, leading positions in universities and science centres

# IN SOUTH AFRICA

Innovation as a means to advance the economy and lives of people

RRI reflected in many aspects

- Equality
- Science education and outreach
- Community oriented research

# AT THE GOVERNMENTAL LEVEL

- Responsive to society, e.g. research policy for improving lives as well as fundamental research projects
- Indigenous knowledge systems policy

## Challenges

- Available budget
- Equal access > e.g.

Fees must fall movement



UNIVERSITY OF TWENTE.



NUCLEUS

# AT THE INSTITUTIONAL LEVEL

- Science education and outreach via e.g. science centres, nation-wide programmes, SAASTA > raise enthusiasm for science
- Entrepreneurial attitudes for finding budgets
- Open access platform

## Challenges

- Distance to rural areas

# AT THE INDIVIDUAL LEVEL

- Skilled in making most out of budget
- Some researchers are actively engaged
- Striving for open and trusting relationships
- E.g. Study to 'kougoed plant' shows respect for local knowledge
- More formal recognition of public activities

# COMPARISON AND CONCLUSIONS

*Mirjam Schuijff (UT)*



*This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No. 664932.*



**NUCLEUS**



# PRACTICES AT THE GOVERNMENTAL LEVEL

- Innovation and knowledge economy as policy objectives
- Challenges regarding different levels of science literacy and inclusion, affecting research and universities.
- China: science education prominent
- South Africa: science engagement, indigenous knowledge

UNIVERSITY OF TWENTE.



# AT THE INSTITUTIONAL LEVEL

- Large efforts for science education
- Rural communities are literally far away → affects efforts and equal access
- Institutions do not have formal recognition systems for RRI aspects
- System for research ethics becoming important in China, in place in South Africa

# AT THE INDIVIDUAL LEVEL

- Engagement and science education not always considered part of their job > acknowledge
- Support and training wanted
- Role models can stimulate or facilitate RRI

UNIVERSITY OF TWENTE.



# CONCEPTUAL LEVEL

- RRI not labelled as such; 'RRI' not well-known
- Yet, in both countries:
- Many efforts can be seen as RRI-related

# WHAT IS NECESSARY FOR EUROPE TO REALISE RRI?

- Europe can learn from international perspectives.
- Europe can design new strategies to take society along.
- Set up exchange programmes

UNIVERSITY OF TWENTE.



# PANEL DISCUSSION

*Anne Dijkstra, Mirjam Schuijff (UT)*

*Yan Shi, Yin Lin, Zheng Nian, He Wei  
(CRISP)*

*Shadrack Mkansi (SAASTA)*



*This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No. 664932.*



**NUCLEUS**

# QUESTIONS?

UNIVERSITY OF TWENTE.



NUCLEUS

## STATEMENT 1

The label RRI is necessary for successful implementation

- Agree
- Disagree
- Sometimes





# WHAT CAN WE LEARN FROM THE FINDINGS?

UNIVERSITY OF TWENTE.



NUCLEUS

# HOW CAN WE USE THESE FINDINGS FOR OUR PRACTICES?

UNIVERSITY OF TWENTE.



NUCLEUS

**THANK YOU!**



**NUCLEUS**